



A Deregulated Optimal Power System Using Flexible Real Coded Biogeography-Based Optimization

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ABSTRACT: This paper presents a biogeography-based optimization based algorithm for solving constrained optimal power flow problems in power systems. In this paper, we extend the original BBO and present a flexible real-coded BBO approach, referred to as FRCBBO, for the global optimization problems in the deregulated power system. Using flexible real coded biogeography-based optimization (FRCBBO), we present the optimization of various objective functions of an optimal power flow (OPF) problem in a power system. We aimed to determine the optimal settings of control variables for an OPF problem. The proposed approach was tested on a standard IEEE 30-bus system and an IEEE 57-bus system with different objective functions. The results indicate the good performance of the proposed FRCBBO method.

KEYWORDS: Optimal power flow, Biogeography-based optimization, Fuel cost, Voltage profile, Voltage stability.

I. INTRODUCTION

Biogeography-based optimization (BBO) is a new optimization algorithm, and, thus far, it has been used in power system optimization. The application of biogeography to optimization was first presented in [10], and it describes how a natural process can be modelled to solve general optimization problems. BBO maintains its set of solution from one iteration to the next, although the characteristics change as the algorithm progresses. BBO has many features in common with the PSO algorithm. In PSO, solutions are maintained from one iteration to the next, but each solution is able to learn from its neighbours and adapt itself as the algorithm progresses. However, PSO solutions do not change directly; first, their velocities are changed, then positions change.

II. RELATED WORKS

The optimal power flow (OPF) problem has become an essential function for operation, control, and planning of modern power systems. It was first introduced by Dommel and Tinney [1]. The OPF problem can be defined as determining the optimal settings of a given power system network that optimize a certain objective function while satisfying power flow equations and inequality constraints, such as system security and equipment operating limits. Several conventional optimization techniques, such as linear programming, the interior point method, the reduced gradient method, and the Newton method [2], have been applied to solve the OPF problem assuming continuous, differentiable, and monotonically increasing cost functions. However, higher-order non-linearity and discontinuities are observed in practical input–output characteristics due to valve point loading. Conventional methods have failed in handling non-convex and non-differentiable optimization problems. Hence, it becomes essential to develop optimization techniques that are capable of overcoming these drawbacks. In recent years, many evolutionary algorithms (EAs), such as the genetic algorithm (GA) [3], simulated annealing (SA) [4], particle swarm optimization (PSO) [5], evolutionary programming (EP) [6], hybrid EP (HEP) [7], ant colony optimization (ACO) [8], and bacteria foraging optimization (BFO) [9], have been proposed, which are more or less successful in handling various non-linear optimization problems. These techniques can solve non-convex, non-smooth, and non-differentiable optimization problems efficiently and often achieve a fast and near global optimal solution.



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The power of flexible real coded biogeography-based optimization (FRCBBO) to solve the OPF problem is discussed in this paper. The BBO algorithm has been employed to IEEE 30-bus, IEEE 57-bus systems having linear operating constraint. The same three objective functions are used in this study, namely, minimization of fuel cost, improvement of voltage profile, and improvement of voltage stability. In the FRCBBO approach, therefore, an adaptive Gaussian mutation is integrated into the OPF problem, thereby avoiding premature convergence, improving population diversity, and enhancing the exploration ability.

III. PROBLEM FORMULATION

Generally, an OPF problem is a large-scale, highly constrained nonlinear optimization problem. It may be defined as:

$$\min f(x, u) \quad (1)$$

$$\text{subject to } g(x, u) = 0 \quad (2)$$

$$h(x, u) \leq 0 \quad (3)$$

Where f is the objective function to be minimized, x and u are the vectors of dependent and independent control variables, respectively, g is the equality constraint, and h is the operating inequality constraint. The vector of dependent variables can be represented as:

$$x^T = \{P_{G1}, V_{L1} \dots V_{LNpq}, Q_{G1} \dots Q_{GNg}, S_{L1} \dots S_{LNI}\} \quad (4)$$

Where P_{G1} denotes the slack bus power; V_L denotes the load bus voltage; Q_G denotes the reactive power output of the generator; S_L denotes the transmission line flow; N_{pq} is the number of load buses; N_g is the number of voltage-controlled buses and NI is the number of transmission lines. The vector of independent control variables can be represented as:

$$u^T = \{P_{G2} \dots P_{GNg}, V_{G1} \dots V_{GNpq}, T_1 \dots T_{Nt}, Q_{C1} \dots Q_{CNc}\} \quad (5)$$

Where P_G is the active power output of generators; V_G is the voltage at the voltage-controlled bus; T is the tap setting of the tap-changing transformer; and Q_C is the output of shunt VAR compensators; N_t and N_c are the number of tap-changing transformers and shunt VAR compensators, respectively.

Equality constraints (g)

$$P_{Gi} - P_{Di} - \sum_{j=1}^{Nb} V_i V_j [G_{ij} \cos(\delta_i - \delta_j) + B_{ij} \sin(\delta_i - \delta_j)] = 0 \quad i = 1, 2, \dots, Nb \quad (6)$$

$$Q_{Gi} - Q_{Di} - \sum_{j=1}^{Nb} V_i V_j [G_{ij} \sin(\delta_i - \delta_j) + B_{ij} \cos(\delta_i - \delta_j)] = 0 \quad i = 1, 2, \dots, Nb \quad (7)$$

Where P_{Gi} and Q_{Gi} are the injected active and reactive power at i th bus, respectively; P_{Di} and Q_{Di} are the demanded active and reactive power at i th bus, respectively; V_i and V_j are the magnitude of voltage at i th and j th bus, respectively; G_{ij} and B_{ij} are the real and imaginary part of the admittance of line connected between i th and j th bus; δ_i and δ_j are the phase angle of voltage at i th and j th bus, respectively; Nb is the number of buses.

Inequality constraints (h)

(i) *Generator constraints:* The generator active and reactive power outputs and voltage are restricted by their upper and lower limits.

$$P_{Gi}^{\min} \leq P_{Gi} \leq P_{Gi}^{\max} \quad i = 1, 2, \dots, Ng \quad (8)$$

$$Q_{Gi}^{\min} \leq Q_{Gi} \leq Q_{Gi}^{\max} \quad i = 1, 2, \dots, Ng \quad (9)$$

$$V_{Gi}^{\min} \leq V_{Gi} \leq V_{Gi}^{\max} \quad i = 1, 2, \dots, Ng \quad (10)$$

(ii) *Transformer constraints:* Tap-changing transformers have minimum and maximum setting limits:

$$T_i^{\min} \leq T_i \leq T_i^{\max} \quad i = 1, 2, \dots, Nt \quad (11)$$

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(iii) *Switchable VAR sources:* These have minimum and maximum limits:

$$Q_i^{\min} \leq Q_{Ci} \leq Q_{Ci}^{\max} \quad i = 1, 2, \dots, N_c \quad (12)$$

(iv) *Security constraints:* These include the limits on load bus voltage and transmission line flow.

$$V_{li}^{\min} \leq V_{Li} \leq V_{Li}^{\max} \quad i = 1, 2, \dots, N_{pq} \quad (13)$$

$$MVA_K \leq MVA_K^{\max} \quad (14)$$

Where MVA_k is the power flow at k th line; MVA_k^{\max} is the power flow capacity of k th transmission line. Finally, the objective function with all constraints combined for the OPF problem.

IV. BIOGEOGRAPHY-BASED OPTIMIZATION

Dan [11] proposed a comprehensive algorithm (BBO) for solving optimization problems based on the study of geographical distribution of species. A BBO algorithm has two main operators: migration operator and mutation operator.

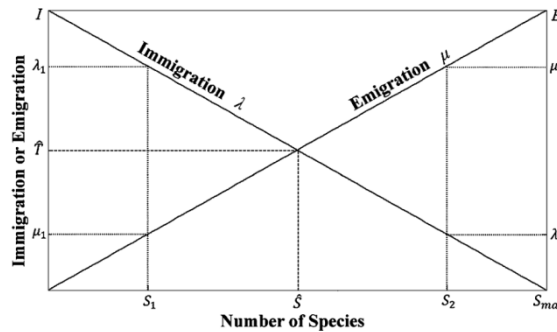


Fig. 1 Model of immigration and emigration probabilities.

A. Migration operator

Migration is a process of probabilistically modifying each individual in the habitat randomly. A geographical area with high habitat suitability index (HSI) tends to have a large number of species, high emigration rate, and low immigration rate. Suitability index variables (SIVs) define the characteristics of a habitat. A habitat with a high HSI tends to be more static in its species distribution. Such an habitat signifies a good solution in terms of an optimization problem. Immigration rate, λ_k , and emigration rate, μ_k , are functions of the number of species in a habitat. For a habitat with no species, its immigration rate can be the highest. λ_k is given by

$$\lambda_k = I \left(1 - \frac{k}{n} \right) \quad (15)$$

Where I is the maximum possible immigration rate, k is the number of species of k^{th} individual, and n is the maximum number of species. μ_k is given by:

$$\mu_k = E \left(\frac{k}{n} \right) \quad (16)$$

Where E is the maximum possible emigration rate.

B. Mutation operator

Mutation tends to increase the diversity of a species in a habitat. Due to natural events, the HSI of a habitat can change dramatically, causing the species count to shift away from its equilibrium value. Species count may be a probability value (P_i). If this probability value is very low, an individual solution is thought to have been mutated with other solutions. So, the mutation rate of an individual solution can be calculated using species count probability, given by:

$$M_i = M_{\max} \left(\frac{1 - P_i}{P_{\max}} \right) \quad (17)$$



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Where M_i is the mutation rate, M_{max} is the maximum mutation rate, which is a user-defined parameter, and P_{max} is the maximum probability of species count. In BBO, a mutation characteristic function is given by:

$$X'_i = X_i + \text{rand}(0.1) \times (X_i^{max} - X_i^{min}) \quad (18)$$

Where X_i is the decision variable; X_i^{max} and X_i^{min} are the lower and upper limits of the decision variable, respectively.

V. FLEXIBLE REAL CODED BIOGEOGRAPHY-BASED OPTIMIZATION

RCBBO was proposed by Gong et al. [12] as an extension to BBO. In RCBBO, individuals are represented by a D-dimensional real parameter vector, and a probabilistically based Gaussian mutation is used. The Gaussian mutation characteristic function is given by:

$$X'_i = X_i + N(\mu, \sigma_i^2) \quad (19)$$

Where $N(\mu, \sigma^2)$ represents the Gaussian random variable with mean μ and variance σ^2 . The values of mean and variance are considered 0 and 1, respectively. Generally, a probability-based mutation operation is known to improve the convergence characteristics. Therefore, adaptive Gaussian mutation is applied in the present work to improve the solution of a worst half set of habitats in the population. In Eq. (19), $\mu = 0$, and σ_t is found using the following equation:

$$\sigma_i = \beta \times \sum_{i=1}^n \left(\frac{F_i}{f_{min}} \right) \times (X_i^{max} - X_i^{min}) \quad (20)$$

Where β the scaling factor or mutation probability, F_i is the fitness value of i th individual, and f_{min} is the minimum fitness value of the habitat in the population. Adaptive mutation probability is given by:

$$\beta = \beta_{max} - \frac{\beta_{max} - \beta_{min}}{T_{max}} \times T \quad (21)$$

Where $\beta_{max} = 1$, $\beta_{min} = 0.005$, T_{max} is the maximum iteration, and T is the current iteration. The use of adaptive mutation can prevent premature convergence, thereby producing a smooth convergence. This method of mutation can be easily used with real-coded variables, which have been widely used in EP, and hence to carry out local as well as global searches. The steps for solving the OPF problem using proposed FRCBBO is as follows:

Step 1: Initialization

Habitat modification probability (P_{mod}), minimum and maximum values of adaptive mutation probability (β_{min} and β_{max}), maximum immigration and emigration rates for each island, maximum species count (P), and maximum iterations are initialized.

Step 2: Generate SIVs for the habitat randomly within the feasible region. Individuals (control variables) in the habitats are initialized as:

$$X_{ij} = X_j^{min} + \text{rand}(0.1) \times (X_j^{max} - X_j^{min}) \quad (22)$$

where $i = 1, 2, \dots, P$ and $j = 1, 2, \dots, N_{var}$; N_{var} is the number of control variables; X_j^{max} and X_j^{min} are the upper and lower limits of j th control variable.

Step 3: Perform load flow analysis using Newton–Raphson method and determine the dependent variables (Eq. 4). Compute the fitness value (HSI) for each habitat set.

Step 4: Based on the HSI value, elite habitats are identified.

Step 5: Iterative algorithm for optimization:

- Perform migration operation on SIVs of each non-elite habitat selected for migration.
- Calculate immigration and emigration rates for each habitat set, using Eqs. (15) and (16).
- Update the habitat set after migration operation.
- Recalculate the HSI value of modified habitat set; feasibility of the solution is verified and habitat set sorted based on new HSI value.
- Perform mutation operation on the worst half set of population by Gaussian adaptive mutation. In Eq. (19), mean ($\mu = 0$) and variance σ^2 are calculated from the following equation:



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$$\sigma_{ij} = \frac{F_{ij}}{f_{\min}} \times (P_{Gi}^{\max} - P_{Gi}^{\min}), i=2,3, \dots Ng \quad (23)$$

Where F_{ij} is the fuel cost of i th generator (individual) of j th habitat; f_{\min} is the minimum fitness value of the habitat in the population; P_{Gi}^{\max} and P_{Gi}^{\min} are the maximum and minimum limits of active power generation of i th generator. Fuel cost minimization is the main objective function for all case studies, fuel cost mainly depends on active power generation; each active power control variable contributes to minimize the fuel cost individually. So, σ_{ij} for active power control variables is calculated individually from the fuel cost of each active power generation. But other control variables (except active power control variables) are not directly related to fuel cost minimization function; they are used to satisfy the constraints of the OPF problem. So σ_{ij} for other control variables is calculated using the fitness value (F_j) of the j th habitat set (not individuals of habitat).

$$\sigma_{ij} = \beta \times \frac{F_{ij}}{f_{\min}} \times (X_i^{\max} - X_i^{\min})$$

$$i = Ng + 1.Ng + 2 \dots Nvar \quad (24)$$

Where F_j is the fitness value of j th habitat; X_i^{\max} and X_i^{\min} are the maximum and minimum limits of i th individual.

- Compute the fitness value (HSI) for each habitat set after mutation operation and verify the feasibility of the solution.
- Sort the habitat set based on new HSI value.
- Stop the iteration counter if the maximum number of iterations is reached.

Step 6: Finally SIVs should satisfy the objective function as well as constraints of the problem.

VI. RESULTS AND DISCUSSION

The power of the FRCBBO algorithm to solve the OPF problem was tested using an IEEE 30-bus and an IEEE 57-bus systems. All simulations were performed on a personal computer (i3 3.1 GHz Intel Processor and 2 GB RAM running MATLAB R2013a).

A. IEEE 30-bus system

An IEEE 30-bus system has six generators, four tap-changing transformers, and nine shunt VAR compensation buses for reactive power control. The system active power demand is 283.4 MW and reactive power demand is 126.2 MVAR at 100 MVA base. The magnitude of voltage limits for generator buses are 0.95–1.1 p.u. and for load buses are 0.95–1.05 p.u. Bus 1 is taken as the slack bus.

The bus data and line data [13] and the minimum and maximum limits for control variables [14] are obtained from literature. The optimal control parameters for the algorithm are obtained by number of simulation results. They are: habitat size = 50, habitat modification probability = 1, immigration probability = 1, step size for numerical integration = 1, maximum immigration and emigration rate = 1, mutation probability = 0.005 and maximum number of iterations = 200.

Case 1: Minimization of fuel cost

It represents the quadratic cost function whose objective function is expressed as follows:

$$f = FC = \sum_{i=1}^{Ng} (a_i + b_i \times P_{Gi} + c_i P_{Gi}^2) \quad (25)$$

Where FC is the total fuel cost; a_i ; b_i and c_i are fuel cost coefficients of the i th unit. The quadratic cost coefficients are obtained from Alsac et al.

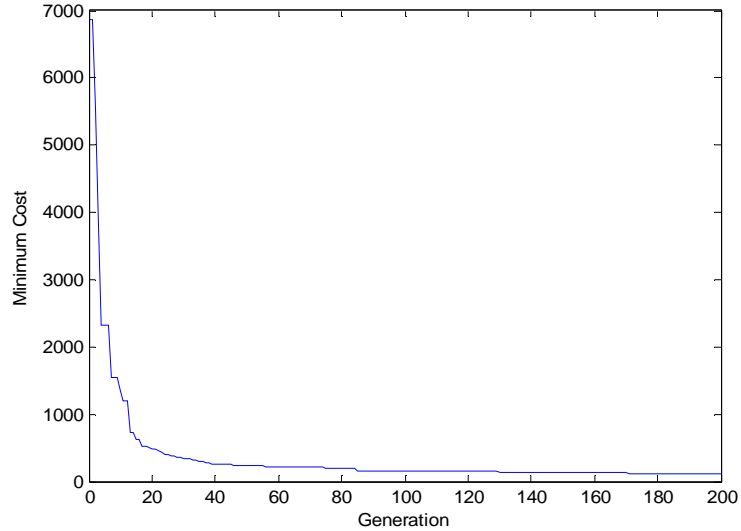


Fig. 1. Convergence characteristics of proposed FRCBBO

Results across different optimization techniques. The first three rows mentioned in the table are obtained from our own implementation of algorithms; i.e. original BBO [15] and RCBBO [16]. Best fuel cost obtained by the proposed FRCBBO was 800.5159 \$/h, and average fuel cost was 800.6412 \$/h. Table-1 shows the convergence characteristics of optimization methods, considered in this work are depicted in Fig. 1, which indicates premature convergence in FRCBBO

Table: 1 Minimization of Fuel Cost

BUS SYSTEM	FUEL COST (\$/h)		
	BEST	AVERAGE	WORST
IEEE 30	700.5159	700.6412	700.9262
IEEE 57	41686	41718	41737

Case 2: Voltage profile improvement

This objective function minimizes the fuel cost while enhancing the voltage profile by minimizing all the load bus voltage deviation from 1.0 p.u. It can be expressed as:

$$f = \sum_{i=1}^{Ng} (a_i + b_i \times P_{Gi} + C_i \times P_{Gi}^2) + \lambda \sum_{i=1}^{Npq} |V_i - 1.0| \quad (26)$$

Where λ is a weighting factor selected by the user, the sum of voltage deviation in this case is 0.0920, which was 0.8867 in the previous case. Hence there is an improvement from 89.62% in the voltage profile. The results of this objective function across different optimization methods considered. Table-2 shows the proposed FRCBBO shows a better solution than other. The best solution is infeasible because the reactive power of the slack bus is 20.1144, in violation of the limits reported.

Table: 2 Voltage Profile Improvements

BUS SYSTEM	VOLTAGE DEVIATION(p.u)		
	BEST	AVERAGE	WORST
IEEE 30	0.095	0.1008	0.011
IEEE 57	0.147	0.122	-0.004



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Case 3: Enhancement of voltage stability

Voltage stability is the ability of a power system to maintain acceptable voltages at all buses in the system under normal conditions. A system enters a state of voltage instability when a disturbance, such as an increase in load demand or change in system condition, causes a progressive and an uncontrollable decrease in voltage. Table-3 shows voltage stability is an important parameter in a power system operation. It can be defined via minimizing the voltage stability indicator (L-index) values of each bus of a power system. The L-index of a bus specifies the proximity of the voltage collapse condition of that bus. The L-index of a jth load bus is defined as:

$$L_j = \left| 1 - \sum_{i=1}^{N_g} F_{ij} \frac{V_i}{V_j} \right| \quad (27)$$

$$j = 1, 2, \dots, N_{pq} \quad (28)$$

$$F_{ij} = -[Y_1]^{-1}[Y_2] \quad (29)$$

Where V_i is the voltage of the ith generator bus and V_j is the voltage of the jth load bus. Y_1 and Y_2 is the sub matrices of the system Y_{bus} obtained after separating the load and generator buses parameter. L_j equal to one represents the voltage collapse condition of jth bus. So, a global power system's L-index is given as:

$$L = \max(L_j) \quad j = 1, 2, \dots, N_{pq} \quad (30)$$

A lower value of L-index represents a more stable system. An objective function combining minimization of fuel cost and enhancement of voltage stability is suggested by minimizing the L-index value. The results of this objective function across different optimization methods considered are presented. The best solutions are infeasible because the voltage magnitudes at most of the load buses are greater than 1.05 p.u., which violate their limits reported in [17].

Table: 3 Enhancement of Voltage Stability

BUS SYSTEM	ENHANCEMENT OF VOLTAGE STABILITY		
	BEST	AVERAGE	WORST
IEEE 30	0.141	0.1375	0.151
IEEE 57	0.203	0.159	-0.048

VII.CONCLUSION

Using case studies approach, FRCBBO has been implemented successfully in different power systems for congestion management. This algorithm is ideal for independent system operators to solve different objective functions in deregulated environments. Our simulation results suggest that the proposed FRCBBO approach is able to improve exploration ability and diversity of the population, in addition to yielding good convergence characteristics and robustness, as with other heuristic algorithms. The present work adds further evidence to suggest that the proposed FRCBBO is the best to solve nonlinear objective functions, with its ability to prevent premature convergence of solutions. The objectives of fuel cost minimization, voltage profile and voltage stability enhancement under intact and contingency conditions were successfully tested using this approach. The results of the problem are compared against literature results obtained using different optimization methods.

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